

Interacting with an intelligent dancing figure : artistic experiments at the crossroads between art and cognitive science

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Abstract: This article gives an account of the cooperation between a neurophysiologist and two computer artists, that took place within the framework of a study *cum* artistic experiment on virtual interactive figures on the boundary of art and cognitive science. This study, called "*Intelligent*" interactivity (*connectionism, evolutionary science and artificial life*) in digital arts in relation with the physiology of the perception of action and movement, was supported by the *Cognitive 2000* Program on *Art and Cognition* on the initiative of the French Ministry of Research.

Key words:

Digital arts, movement perception, interactivity, connectionism, evolutionary science, artificial life.

Introduction:

Interactivity has introduced a certain type of sensorial awareness in the arts, especially considered from the point of view of the spectator. Our hypothesis is that this sensorial aspect may also be envisaged from the point of view of the work of art itself, by endowing the work with perceptions of its own. This raises one of the most crucial questions in contemporary digital arts: that of the relationships between natural and artificial "perception-movement-action" functions.

We led studies and carried out experiments on these relationships, drawing from the results of research into the fields of connectionism, artificial life and the perception of actions and movements.

One of our aims is to create art installations showing virtual actors who are endowed with artificial perceptions enabling them to react in an autonomous way to the cues given by a spectator, thus opening arts and cognitive science to a whole new range of possibilities for the exploration of virtual life.

1 A second interactivity

1.1 State of the Art

Our purpose is set in the context of interactive arts in relation with artificial life and it follows on from research that we will present briefly and non-exhaustively in order to give a series of landmarks and reference points.

Flavio Sparacino [1] distinguishes systems that are merely “reactive” (systems in which sensors transfer data from the audience’s actions to scripts that map pre-defined reactions), from the “behavioural” systems (systems that apply the results of classical artificial-intelligence research, as, for example “group behaviour” theory, introduced by Reynolds in 1987 [2]) and finally, from “autonomous systems”, first introduced by Brooks [3] in the case of robotics, then developed by Maes [4] [5] and that Karl Sims [6] [7] first applied to the arts. Blumberg [8] [9] elaborated a general model for perception and action selection in real-time. He thus made a model of a dog that was capable of interacting with human beings as well as with other virtual actors on the behavioural mode). With the “Neuro-Animator” [10], Terzopoulos offers a new approach in order to create animations that are realistic in physical terms by exploiting the features of neural networks that are trained off-line in order to imitate the dynamics of moving physical models. CML (“Cognitive Modelling Language”) [11] outreaches behavioural models by controlling what a virtual actor knows, how he/she acquires the knowledge and how he/she uses it in order to plan his/her actions.

According to Jean-Arcady Meyer [12] [13] the *animat* approach postulates that it is possible to study human cognition through a bottom-up approach that proceeds from minimal control architectures and simple environments and then makes them gradually more complex. Evolutionary robotics apply the laws of genetics and natural selection to encode a robot’s phenotype in its genotype, the robot is then submitted to an artificial process of natural selection by using genetic algorithms [14] [15] and genetic programming [16].

Intensive research has been led on “cyberdance”. Among the most eloquent examples are Merce Cunningham who used Tom Calvert’s [17] [18] *Life Forms* program. Nadia Magnenat Thalmann [19] [20] who realized performances by putting virtual actors on stage alongside real actors.

In her show, DanceSpace, Flavia Sparacino [1] generates in real time music and images from the dancer’s movements. She also applied this approach to the theatre in TheaterSpace.

In the realm of theatre, Jean Lambert-Wi’s staging of Pasolini’s *Orgia* uses the Daedalus system that creates artificial organisms from data sent by sensors recording the actor’s stress and emotional levels.(Fourth Art and Technology Festival, Espace Jean Legendre, Lyon, 2001).

We position ourselves in the trend inspired by connectionism, first explored by Van de Panne, Fiume [21] and Karl Sims [6], by applying its results to animated figures who had to adapt to an unknown and changing environment.

1.2 “Body-Thought”

We chose to base our research on models drawn from cognitive science and biology, especially connectionism, genetics and the physiology of perception and action, in order to head

towards what we suggest calling “second interactivity”, in reference to “second cybernetics” [22] that deals with more complex and fuzzy relations, that are closer to intuitive human behaviour.

Even though the work produces meaning-effects (*effets de sens*), these impressions are not primarily related to the play of language, concepts and symbols, but to an often unknown and despised form of thought, that Marie-Hélène Tramus [22] calls “body-thought”. Hence, the work is entirely contained in the series of unique perceptions that the audience may experience, once or more, during the interplay. This work only exists if it is visited, explored, felt. According to Francisco Varela’s phrase, it is fundamentally experience-related (*expérientielle*). It is, literally, a body art.

The body this art deals with is not only the spectator’s, as the spectator also engages in a dialogue with a counterpart the work calls into existence, and that Alain Berthoz calls the “doppelganger” [24]. The doppelganger is characterized by the fact that it is a mirror-image, while keeping the separate identity of an autonomous being. This ambivalence is at the source of emotions that only art can provide.

1.3 Autonomy

According to Varela, *autonomy* means internal law (related to self generation, self organisation and the affirmation of identity), it is opposed to *allonomy* (external law, or command) [25.]

What is at stake in this dialogue with virtual creatures is the issue of their autonomy, the quintessential feature of these virtual objects who have become automata. A feature that allows them to move and act in an independent way and a manner adapted to their environment perceptions (the environment being the spectator in this case, perceived through the use of sensors).

The connectionist approach offers a possible direction, yet not the only one, for interactive experimental art, as it gives the virtual creature a certain degree of autonomy, thanks to neural networks that generate unpredicted and non-explicitly programmed behaviour. The global approach at work in these artistic experiments draws inspiration from contemporary biology theory, in particular the views of neurophysiologists, such as Alain Berthoz [26], according to whom the most refined features of human sense and sensibility are dynamic processes, ever changing relations between the brain, the body and the environment. In his view, movements play a fundamental role, as the ability to coordinate actions is indeed at the source of the highest cognitive functions in the brain. Alongside a call for the reintegration of action and movement at the very heart of brain-studies, these installations show a move in favour of a kind of digital art that should also draw inspiration from physical sensations and movement.

We thought neural networks, that have the capacity to self configure, were favourable to the development of experiments on the “body-brain-environment” interactions of a virtual creature. During a first phase, we chose to use networks with supervised learning hidden layers, as they are very easy to implement. Furthermore, they are very widespread, their algorithms are widely published [27] and, above all, they are very efficient at solving certain problems that have fuzzy constraints and no known resolution algorithms. However, they are very far removed from the neurobiological features of the brain.

This exploration of networks of supervised neurones is connected to a specific stage in our research. But we are carrying out experiments on other kinds of networks such as Kohonen’s [28] unsupervised networks with competitive learning that are able to recognize regularities. We are also interested by other paradigms, like the dynamic approach in *animat* research [12].

2 “Intelligent” interactive installations.

The exploration of the possibilities of « intelligent gesture related interactivity » between real and virtual actors in the digital arts was enriched by its encounter with other disciplines: in particular the cognitive science research focusing on an understanding of movement, perception and action in relation with emotions and expressiveness, but also the performing arts, such as dance, theatre and the circus, that all found their expressive power on movement.

2.1 Description of two installations with an interactive virtual character

This virtual character obeys biomechanical laws and is endowed with reflex behaviour patterns that help it maintain its balance on the ground. Furthermore, neural networks enable it to react to the spectator’s movements in an “intelligent” way.

In the case of the installation called *The Virtual Tightrope Walker* the spectator is invited to become a tight-rope walker. The image of the virtual tight-rope walker is shown on a screen facing the spectator who is equipped with a movement sensor attached to his or her waist. This sensor sends information about the position and the orientation of the spectator to the computer that interprets it in real time as a set of forces that influence the moving synthetic actor, controlled by neural networks. The tight-rope walker is not a copy of the spectator, but rather an artificial being that is sensitive to the spectator’s movements. If the spectator tries to unbalance the tight-rope walker, she will attempt to regain her balance by developing autonomous strategies in real time. These strategies are the result of a previous training phase. The duet between both “actors” then develops around a game of unbalance and balance (figures 1,2,3,4,5,6,7).

In the installation *Dance with Me*, the spectator is now invited to interact in real time with a virtual dancer. The spectator interacts through the means of a movement sensor attached to his/her waist. The sensor’s variations in speed are interpreted by the computer as forces influencing a virtual body set in a gravity field and constrained by a floor it cannot fall through. When she faces a moving spectator, the virtual dancer improvises dance steps that are a compromise between previously learnt choreography and balancing strategies, and the spectator’s movements (figures 8 and 9). This artificial being, albeit very elementary and very far removed from the very complex forms of natural adaptation and anticipation, outreaches simple retroactive loops in the way it comprises certain features of live creatures. For example, generalisation, a property of neural networks, endows it with a potentially unlimited array of unlearned, yet adapted, reactions. Its intelligence appears as a feature emerging from interactions between its elements (artificial neurons), the information it senses in its environment, and its structure (the simulation of a human body, endowed with certain behaviour patterns).

2.2 Experiments with spectators, acrobats, dancers : interactivity in art installations as interdependence vs. autonomy

Experience taught us, every time we showed the work and observed spectators react, to gradually understand the issues of the relationship between both beings, in particular the delicate balance between autonomy and interdependence.

The response of the previously trained networks is modulated by the spectator’s intervention via the sensor. So the data coming from the neural network and the data issuing from the sensor-related interaction module are mixed. Setting the right proportions between both of these sources is essential as this is what allows the virtual and the real beings to act together in a complex balance of

mutual interdependence and autonomy. By moving and observing, the spectator should be able to sense not only how he or she influences the figure and its reactions, but also the figure's own autonomy. A virtual being with too great an autonomy wouldn't engage in a relation, and if the spectator's control were too strong, the relation might lack any sense of surprise. The spectator experiences things through movement, he or she gradually discovers this partner with her unpredictable reactions, adapts, tries things, invents his or her own kind of movements.

We filmed some of the moments of these various displays. The films show careful spectators seizing the balancing-pole, looking at the tight-rope walker and hesitantly starting to move. Some of them copy the acrobat's movements, in order to become tight-rope walkers themselves, by reproducing the same gestures a split second later. This has produced beautiful scenes showing moments of harmony that surprised the spectators looking for their mirror-image. This configuration differs from usual experiments involving synthetic clones, as the latter copy exactly the gestures of the movement-sensing device they are enslaved to. But the spectators' mimetic quest for a duplicate is soon outplayed by his own copycat behaviour which, on the contrary, upsets the virtual figure's balance and thus disrupts the whole choreographic harmony. The spectator makes new attempts, and the succession of these new attempts produces more movement similarities and more disruptions of the figure's balance, thus establishing an original interrelation of gestures. Other spectators project themselves in the tightrope walker as if they were dealing with a clone that should follow each and every of their gestures: attempts, failures, more infelicitous attempts that may lead them to abandon any form of relationship with such a disobedient character. Others just set out on an adventure, they make attempts, move, manipulate the balancing-pole, observe the figure's movements and thus discover and establish quite spontaneously a relation with the virtual creature, through movement and action.

An experienced tightrope walker put her skills in practice to interact with the virtual tightrope walker. It was very moving to see the gestures of equipoise and unbalance of both performers together and to sense the similarity between them. For instance in the ways they enhanced their tread in order to regain their balance, leaning either backward or forward, and in the balancing moves of the bust. But also in the way the figure moved in search of her balance or when she lost it. It was mesmerizing to see the real tight-rope walker laugh and exclaim in front of the virtual figure's extravagant unbalanced poises. It was fascinating to witness her scrutinizing her, as if she wanted to guess her "intentions".

We also got the virtual dancer to take part in a dance and music improvisation session, gathering several dancers and a group of musicians. A dancer chose to dance as if he was unaware of the fact that the virtual dancer was there. Another started playing immediately with the image projected on the wall, whereas one dancer set off on a very subtle dialogue of gestures: a series of slight hip movements, and swaying variations on these movements to the sound of music. And yet another started a series of leaps that in turn triggered off the virtual figure's leaping response, creating an energetic moment of shared intensity.

In the light of the virtual tightrope walker's and dancer's experiences, it appears that the work emerges from the network of invisible and unique relationships woven between the real and the virtual being and filling the discrepancy between them, thanks to the interactions of the bodies sharing the space of the installation hall.

2.3 Technical description

These installations, designed by Michel Bret [30], comprise four modules:

The dynamic module calculates the movements of a body subjected to different forces: gravity, environment reactions, biomechanical constraints, simulations of forces provided by the

sensors and the behavioural module.

The behavioural module simulates rebalancing reflexes and forces generating voluntary movements provided by the connectionist module.

The connectionist module builds in real time adaptive strategies thanks to a neural network whose inputs are connected to the interactive module and whose outputs are interpreted as movement projects. The projects are groups of torques, applied to the body's joints and sent to the behavioural module that produces a movement. The network was instructed by a series of experiences that acted as a learning phase.

The interactive module manages interrelations between the model and the world. Its inputs are fed by external forces sent by the movement sensors. At the same time, the interactive module manages the relations between the model and itself, as some inputs proceed from internal forces, such as the biomechanical constraints of the virtual body.

In this sense, projects produced by the connectionist module are dynamically confronted to the particulars of the interaction that modify them. They can be interrupted at any given moment by another project that is better adapted to the present situation.

2.4 Teaching

We programmed the error back-propagation algorithm [31] (see appendix), for supervised learning on a layer network. A group of learning pairs is presented to the network whose connection matrix has previously been randomly initialized. For each input, the network calculates an output that is generally different from the required output. The difference between both outputs is used to correct the connection weights in order to minimize that error. Through a series of trial and errors, the network configures itself and learns all the provided examples. If the series of examples is representative enough of the different situations the synthetic actor is to be confronted with, the network will be able to respond correctly, even in the event of unlearned examples.

In practical terms, we connected the sensors to the network inputs, and the network outputs to the actuators influencing the muscular system (figure 10).

Firstly, the tightrope walker was taught how to keep her balance on the rope, while the dancer was taught dance movements. Secondly, after these virtual characters had been trained, they were put in front of spectators or dancers or even acrobats so that a kind of gesture invention made of interdependence and autonomy could take place.

We also implemented a real-time version of this method by parallelizing the learning process and the interaction phases. From this arose a more elaborate interaction in which the spectator could witness, control and even attempt to modify the effect of his/her actions on the virtual being's behaviour.

At last, we are planning to use other kinds of non-supervised networks this time, like Kohonen's [28]. They should allow the virtual being to discover the regular features of its environment as well as the most adapted responses.

This interaction between the spectator and the artificial creature that is endowed with a certain amount of autonomy and a certain capacity to invent gestures, creates an unprecedented kind of artistic event: while remaining close to a real life situation, it remains unpredictable and wishes to inspire improvisation, inventiveness, imagination and wonder.

3 Integration in the autonomous model of elements drawn from the physiology of perception of movements and actions

This section describes the integration of a few principles and natural laws of movement into the virtual body. They influence some of its autonomous behaviour patterns, a bit like the brain defines movement strategies in order to reduce the number of control parameters. If the body is to be rehabilitated in modern neurobiology, it is important to rediscover the rules that govern its movements. These rules have been intuitively sensed by sculptors who managed to reproduce the body's movements in relation with feelings, as well as by actors in traditional oriental theatre. They teach us that movements are first expressed through posture, that the kinematics of movements conveys meanings and that the trajectory of a finger, a head shift, the demeanour of a swaying body, all respond to laws that are situated on the borders between mechanics and neurology. They also teach us that a natural movement is a source of pleasure.

3.1 About neural networks

The virtual actor's network of neurones learns through a series of examples based on samples of natural movements recorded by the captors.

By replacing the network controlling the legs by several networks having learned different things, the question of what network to choose arose: In a first phase, we chose the network with the smallest Euclidian distance between one of its learning inputs and the virtual actor. In a second phase, rather than a single most-adapted-network, we chose a combination of responses.

In this way, we developed a multi-network environment allowing combinations that were our very first move towards an action selection model.

Here, movement organisation is based on a synergy directory, each synergy being an action possibility. But not only is it necessary to have a library of easy-to-trigger available movements that are all compatible because they share the same reference frames or the same geometrical principles, it is also necessary to be capable of making choices among them.

The formulation of this problem inaugurates a series of reflections and we want to develop the study of other selection modes, as models for action selection, in further research.

Thanks to input multiplexing, it was possible to control the degree of autonomy of the virtual figure. In the same way, we simulated a "goal-directed action", using a method close to that of inverted kinematics used in robotics.

3.2 Concerning the role of the head and the gaze

In our interactive installation *the Virtual Tightrope Walker*, the head movement control was managed by a behavioural program interacting with the dynamic model on a somewhat arbitrary mode. This resulted in quite unnatural movements, the head and gaze in particular moved around without any definite purpose.

A child learning how to walk uses the ground as a reference point, therefore posture and locomotion control happens through the feet. During the learning process the role of the head increases as it remains stable -and yet rotates- in order to act as a mobile yet fixed reference point. A running adult or child is guided by the head. One only needs to watch a running person or animal,

or even a surfer, to understand that their head is stabilized in its rotation and acts as a mobile inertia platform.

In order to control those head movements, we decided to subject the figure to a behaviour pattern whereby its gaze looks for the spectator. When the tightrope walker spins, her head orientation can change abruptly and find a new position. Biomechanical constraints will not let this position last continuously.

3.3 Automatic correlations

In order to control complex movements, the brain diminishes the range of different possible action controls it has to enact on the muscles, by creating automatic correlations using certain parameters. For example, the three angles formed by the ankle with the leg, the leg with the thigh and the thigh with the bust are in a relation of linear dependence. If a three dimensional space is used to visualise the variations of the angles, the points whose coordinates are the values of these angles remain close to a “phase plane” in the event of a “natural” movement. In order to verify this tendency, a program analyses the variations of the angles on a synthetic clone animated by a real actor wearing a *Gypsy* exoskeleton that captures the angles of the joints. A graphic interface shows the plane that is closest to the scatter plot formed by a group of measures. It then appears that the corresponding points remain quite close to an ideal plane, whereas this correlation does not necessarily verify as far as other parts of the body are concerned. Even in the case it verifies, it does so not in a continuous way, but on segments of movements (figure 11).

Another type of automatic setting the brain manages, concerns phase opposition, in which some secondary movements may be produced by inverted variations. For example, the angle between the arm and the rest of the body and the angle between the forearm and the arm, are inversely related, they are then considered as “in phase opposition”. This kinematic constraint allows one to control the movement with one single parameter, by only changing the amplitude between both these angles, which simplifies control considerably. In order to verify this law, we used the previous program to visualise these variations, and the results were convincing on quite a few intervals.

3.4 The law of the power of one third

Another example provided by the kinematic observation of natural movements revealing the algorithms the brain uses in order to control movements, is the law of the power of one third. This law brings together gesture kinematics and geometry: when a person draws an ellipse on a piece of paper with a simple gesture, there is a relation between the curve and the tangential speed of the movements of the hand. What is most striking is that this law not only has an effect on the way the movement is produced, it also influences the way movement is perceived, as a movement gives the feeling it is artificial when the law is not respected: the laws governing natural movement production also model the laws of movement perception.

This relation is the following: $S = \text{constant} * R^{1/3}$

with S = tangential speed and R = curve radius

Or the equivalent:

$A = \text{constant} * R^{2/3}$

with A =angular speed.

We were able to verify this relation with the same type of program that was employed in the case of the previous laws (figure 12).

3.5 Interaction Reference System

Besides the visual reference systems such as verticals, horizontals or the body axis, other reference systems may be used by the brain. In this sense, Israeli choreographer Eshkol has established a dance scripting system whereby it is possible to describe the movements of two dancers through the use of three reference systems: one in relation with the dancer's environment, one for the dancer's relation with his/her own body, and at last, one involving the dancer's relationship with the partner. This brings up the question of an interaction reference system between both dancers.

Another example is that of two dogs fighting: the reference systems that are related to them are mobile, but an interaction reference system could be defined, whereby both previous reference systems are harnessed by certain constraints, for example, a constraint according to which each dog's gaze is riveted to the others.

This is why we chose to add a link between the reference system's movements and the virtual camera, not in an arbitrary way, but depending on the spectator's moves and on those of the synthetic creature. Furthermore, when there are two figures moving, we created a relationship to try to preserve constant eye contact between the two.

The example shown on figure 13 is that of two moving characters whose gazes attempt to remain in line.

Conclusion

If perceptions cease to be considered as forms of representation, but rather are viewed as simulated actions that are then projected into the world, then couldn't these digital creations be equally envisaged no longer as representations, but as "simulated actions that are then projected onto the world"?

Even though interactive art cannot be reduced to simulation, it is based on simulation, in order to draw the spectator into the interactive interplay. Even though this type of art cannot be reduced to a mere illusion, the illusion of simulated perceptions, it contributes to elaborating a set of coherent perceptions for the spectator who is invited to play a part in the experience.

Artists generally try to move one step beyond the coherence of these perceptions, by shuffling, disturbing, provoking a disorder of the senses, in order to question and explore them, to reach their limits, in order to feel new emotions, to invent, to create.

Even though the aims of scientists are different from those of artists, the latter are not interested in trying to assess the validity of the provided models, but consider them as a contribution to what could be called "aesthetic hypotheses". This adventure in common with scientists enables them to create new artistic experiments hybridizing human and virtual beings, on their quest for new sensations, new feelings, new ways of looking at the world, thanks to movement and action.

APPENDIX

The “error back-propagation” method is a supervised learning technique, in which the “teacher” knows the theoretical answer (i.e. the one the network has to learn). Our implementation was inspired by Hervé Abdi’s book [27]:

Take a network of neurones with an input layer, one or several hidden layers and an output layer.

Take x_k as the vector standing for the input impulse number k (learning value).

Take o_k as the vector standing for the cell’s response for the output layer for input x_k .

Take t_k as the vector of the theoretical response (the one that is to be learned) for input x_k .

A learning session is defined by the ordered pairs $(x_1, t_1) \dots (x_{Nk}, t_{Nk})$.

Take $w_{j,i}$ the weight of the connection of cell number i to hidden cell number j .

And finally take $OUT = f(IN)$ the transfer function of a cell.

If the calculated response is different from the theoretical response, the weights are modified so as to lessen the error made by the cell related to the answer. The calculation that takes the mistake in account is the same for every layer, but the calculation of the error signal varies according to the layer in question.

Take in the case of an example k , o_i , the calculated output and t_i the theoretical output, the quadratic error must be minimized:

$$Q = (o_i - t_i)^2$$

Gradient descent works by evolving $w_{i,j}$ in the opposite direction from that of the gradient:

$$\Delta w_{ij} = -n * \delta Q / \delta w_{ij}$$

Where n is the learning constant, in the interval between 0.0 and 0.1

Take e_i the input of neurone i , a_i its output and f its transfer function, it is shown that the correction of the weights of unit number i is:

$$\Delta w_{ij} = -n * d_i * a_j \text{ avec } d_i = -n * \partial Q / \partial a_i * \partial a_i / \partial e_i$$

that the error in the output layer is:

$$\delta_i = 2 * f(e_i) * (o_i - a_i) \quad (1)$$

and that the error on the hidden layer is:

$$\delta_i = f'(e_i) * d_k * w_{ki} \quad (2)$$

If the transfer function is the sigmoid function:

$$OUT = f(IN) = 1 / (1 + e^{-k*IN}), \text{ its derivative is :}$$

$$\partial OUT / \partial IN = OUT * (1 - OUT)$$

and the formulas (1) and (2) shown above become, for the output layer:

$$\delta_i = 2 * a_i * (1 - a_i) * (o_i - a_i) (1')$$

and for the hidden layer:

$$\delta_i = a_i * (1 - a_i) * d_k * w_{ki} \quad (2')$$

In this recurrent method one starts calculating the error signal for the output layer for formula (1), then, by and by, going back towards the hidden layers one uses the error signals of the cells of layer I to calculate that of each cell of layer i-1 with the formula (2). Hence the name: “error back-propagation algorithm”.

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Figure 1: *Stephanette Vandeville interacting with the virtual tightroper*



Figure 2: *Stephanette Vandeville interacting with the virtual tightroper*



Figure 3 : *Stephanette Vandeville interacting with the virtual tightroper*



Figure 4 : *The Virtual Tightrope Walker*



Figure 5 : *The Virtual Tightrope Walker*



Figure 6 : *The Virtual Tightrope Walker*



Figure 7 : *The Virtual Tightrope Walker*

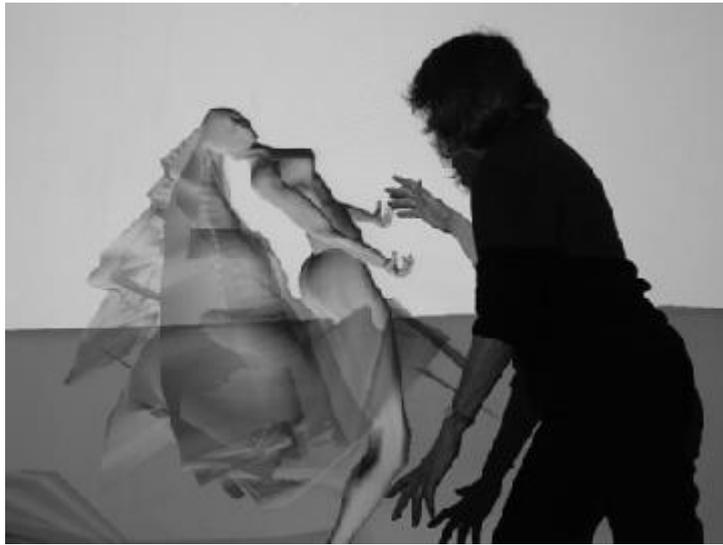


Figure 8 : *Dance with me*



Figure 9: *Dance with me*

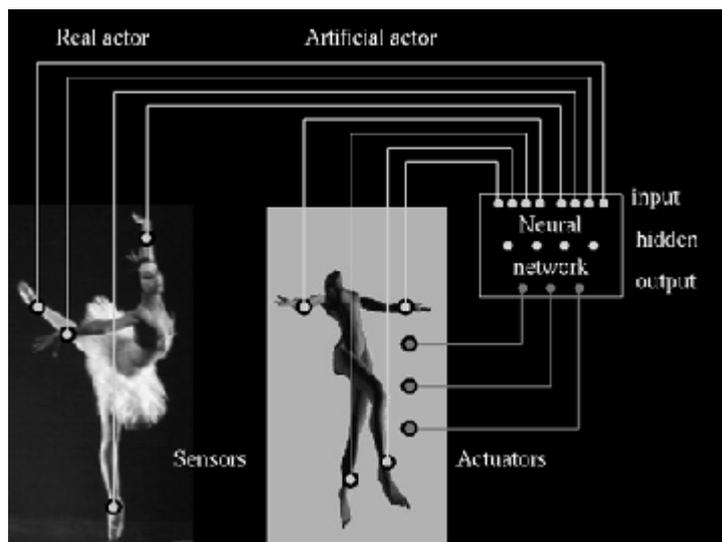


Figure 10 : sensors connected to the network inputs, and the network outputs connected to the actuators

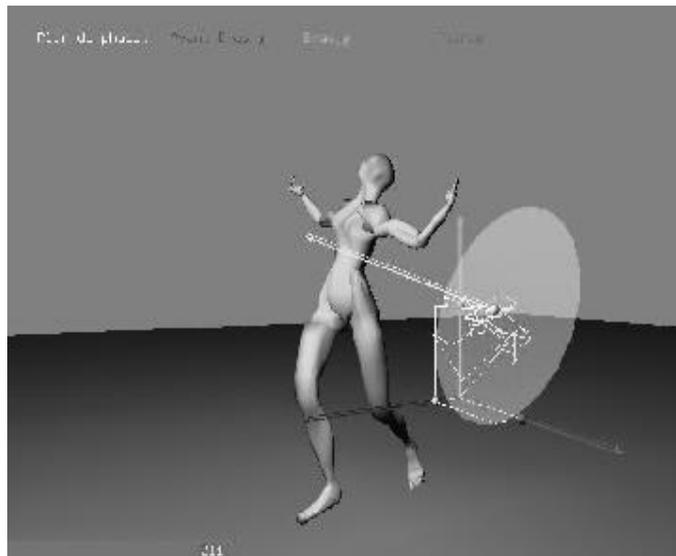


Figure 11 : *phase plane*

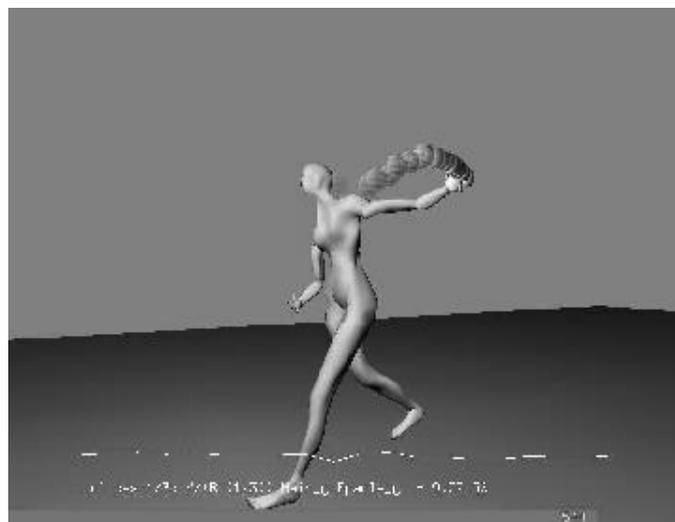


Figure 12 : *The law of the power of one third*

